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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B94-002-8First Named Inventor or Application Identifier Goodman et al.Title Semaphorin Gene FamilyExpress Mail Label No. ET952622929US

ET 952622929 US

ADDRESS TO: The Commissioner for Patents
 Box Patent Application
 PO Box 2327, Arlington, VA 22202

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 92)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets)
5. X Oath or Declaration (Total Pages 3)
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. X Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
b. ☒ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☒ paper
c. ☒ Statement verifying identity of above copies
d. ☒ Request to use CRF from another application

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Transmittal letter (1p)

17. Priority

This application claims priority to prior application No: 09/060,610, filed April 15, 1998, by the same inventors

Prior application information: Examiner _____ Group Art Unit 1647

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address

☒ Customer Number or Bar Code Label



23379
(Insert Customer No. or Attach Bar Code Label here)

or

PATENT TRADEMARK OFFICE

☒ Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature:

Date: February 4, 2002

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,280	241	640	Petition to revive unintentionally abandoned application	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Richard Aron Osman

Signature  **Date** February 4, 2002

Reg. Number 36,627 **Deposit Account User ID** _____
(complete if applicable)

FEE TRANSMITTAL FOR FY 2002

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$446.00**Complete if Known:**Application No. Not yet assignedFiling Date HerewithFirst Named Inventor Goodman et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. B94-002-4**METHOD OF PAYMENT (check one)**1. ☒ [X] The Commissioner is hereby authorized to charge indicated fees to:☒ [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:☒ [X] Applicant claims small entity status. See 37 CFR 1.27Deposit Account Number 19-0750Deposit Account Name Science & Technology Law Group2. ☒ X Payment Enclosed☒ X Check☐ Money Order☐ Other**FEE CALCULATION**1. **BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
101	740	201	370	Utility application filing fee	<u>370.</u>
106	330	206	165	Design application filing fee	<u> </u>
107	510	207	255	Plant filing fee	<u> </u>
108	740	208	370	Reissue filing fee	<u> </u>
114	160	214	80	Provisional application filing fee	<u> </u>
SUBTOTAL (1)					\$ <u>370.00</u>

2. **CLAIMS**

			<u>Extra</u>		<u>Fee from below</u>		<u>Fee Paid</u>
Total Claims	<u>24</u>	- 20 =	<u>4</u>	X	<u>9</u>	=	<u>36</u>
Independent Claims	<u>3</u>	- 3 =	<u>0</u>	X	<u> </u>	=	<u>0</u>
Multiple Dependent Claims			<u> </u>	X	<u> </u>	=	<u>0</u>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
103	18	203	9	Claims in excess of twenty	<u>36.</u>
102	84	202	42	Independent claims in excess of 3	<u>0</u>
104	280	204	140	Multiple dependent claim	<u>0</u>
109	84	209	42	Reissue independent claims over original patent	<u>0</u>
110	18	210	9	Reissue claims in excess of 20 and over original patent	<u>0</u>
SUBTOTAL (2)					\$ <u>36.00</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Goodman et al.

Group Art Unit: Not yet assigned

Serial No. Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

Attorney Docket No. B94-002-8

For: *Semaphorin Gene Family*

Date: February 4, 2002

This application is a CON of USSN 09/060,610, filed 4/15/98, which is a CON of USSN 08/835,268, filed 4/8/97, now U.S. Patent No. 5,807,826, which is a DIV of USSN 08/121,713, filed 9/13/93, now U.S. Patent No. 5,639,856.

TRANSMITTAL LETTER

The Commissioner for Patents
Washington, D.C. 20231

Dear Commissioner:

This is a continuing application of Serial No. 09/060,610, filed April 15, 1998. The enclosed Specification is identical to that of prior application 09/060,610 except for updating the related application information, and incorporating the amendments entered in the prior Specification. This submission introduces no new matter

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP



Richard Aron Osman, Ph.D., Reg. No. 36,627
Tel: (650) 343-4341; Fax: (650) 343-4342

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REQUEST TO USE CRF FROM ANOTHER APPLICATION

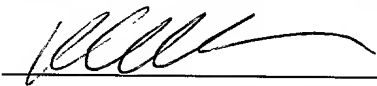
The Commissioner for Patents
Washington, DC 20231

Dear Commissioner:

In accordance with 37 CFR 1.821(e), please use the computer readable form incorporated by Amendment on July 13, 1999 in Application No. 09/060,610, filed April 15, 1998 (which was originally submitted by Amendment on Dec 27, 1996 in Application No. 08/121,713, filed September 13, 1993) as the computer readable form for the instant Application. It is understood that the Patent and Trademark Office will make the necessary change in Application number and filing date for the computer readable form that will be used for the instant Application. The paper copy Sequence Listing submitted herewith is identical (except for page numbering and pagination) to the above referenced computer readable form filed in Application No. 08/121,713.

This submission introduces no new matter.

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP


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